

Attorney's Docket No.: 09857-018001

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Ana Gabriela Anaya  
Serial No. : 09/346,719  
Filed : July 2, 1999  
Title : LINE HANDLER

Art Unit : 3624  
Examiner : Alain L. Bashore

# 12  
11/14/02

Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO RESTRICTION REQUIREMENT

Responsive to the action mailed November 5, 2002, applicant encloses missing page 6 of the amendment filed August 14, 2002.

Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: November 14, 2002

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## CERTIFICATE OF TRANSMISSION BY FACSIMILE

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November 14, 2002

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col. 5, lines 4-11). Thus, Wilson's feed lines merely provide information relating to stock trades. In contrast, applicants' feed lines provide quote information, market participant information, and timing information. As discussed in applicants' detailed description, market participant information and timing information originate from market and news sources.

Zusman and Kampe fail to teach feed lines providing quote information, market participant information, and timing information, as claimed in claims 1, 16 and 28. Zusman discloses "(t)he Central Ticker Plant (CTP) 22 receives financial market data on a plurality of feeds..." (see col. 5, lines 27-29). Zusman's financial market data is merely ticker streams from exchanges throughout the world (see col. 8, lines 17-18). Thus, Zusman's feed lines fail to provide quote information, market participant information, and timing information. Kampe also fails to provide this feature. Kampe, at col. 1, lines 17-19, discloses "...a method for updating sub-messages embedded within a message of a selective call wireless communication."

Thus, applicants submit that Wilson's information relating to stock trades, Zusman's ticker streams and Kampe's processing of sub-messages in all messages do not provide feed lines providing quote information, market participant information, and timing information. Accordingly, claims 1, 16 and 28 are patentably distinct over Wilson, Zusman and Kampe, whether taken separately or in combination.

Applicants have added new claims 38-43.

Applicants' new claim 38 calls for "...receiving a NQDS message in a receiver object from one of a plurality of monitored feed lines, activating a timing object to attach timing data to the NQDS message and activating a translator object to translate the NQDS message into a common format." Claim 38 distinguishes from the cited references since the references fail to teach receiving a NQDS message in a receiver object from one of a plurality of monitored feed lines, activating a timing object to attach timing data to the NQDS message and activating a translator object to translate the NQDS message into a common format. Accordingly, claim 35 is patentably distinct over Wilson, Zusman and Kampe, whether taken separately or in combination.

Applicants' new claim 43 calls for a data structure including "a time stamp field, a message time field, a message data field, a market session field, a line field, a feed field, a feed sequence number field, a message type field and an original identification field." Claim 43

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**FACSIMILE COMMUNICATION**

Title : LINE HANDLER


Commissioner for Patents  
Washington, D.C. 20231

Sir:

Attached to this facsimile communication cover sheet is the Response to Restriction Requirement, faxed this 14<sup>th</sup> day of November, 2002, to Group 3624, the United States Patent and Trademark Office.

Respectfully submitted,

Date: November 13, 2002

  
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